

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Hayder Zahalka et al.**  
 Serial Number: To be assigned  
 Filed: Herewith  
 Examiner: To be assigned  
 Group Art Unit: To be assigned  
 For: **IMPROVED PROCESS FOR STABILIZATION OF  
 POLYMER COMPOSITIONS**

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks  
 Washington, DC 20231

SIR:

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
     [within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
     [before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
     [before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
     [before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

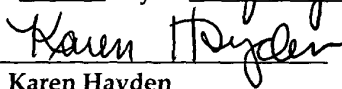
- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Mail Drop Patent APPLICATIONS, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 17 day of July, 2003.

  
 Karen Hayden

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No. 19-3547**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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7.17, 2003

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)				Attorney's Docket Number 132897-2		Serial Number To be assigned	
				Name of Applicant Zahalka et al.			
				Filing Date Herewith		Group To be assigned	

U.S. PATENT DOCUMENTS													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	6	1	6	2	8	5	1		Wood et al.			
	AB	5	8	6	6	7	1	8		Prabhu			
	AC	5	9	5	5	6	3	3		Prabhu			
	AD	5	0	8	1	3	0	0		Odorisio et al			
	AE	5	1	6	2	4	0	8		Odorisio et al			
	AF	5	8	4	4	0	2	9		Prabhu et al			
	AG	5	8	8	0	1	9	1		Prabhu et al			
	AH	5	9	2	2	7	9	4		Prabhu et al			
	AI	6	3	0	3	6	8	1		Funakawa et al			
	AJ												

FOREIGN PATENT DOCUMENTS							
	Document Number		Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AK	WO 02/074847					
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
AQ	US Patent App. No. 2002/0169240, Pub. Date Nov. 14, 2002
AR	US Patent App. No. 2003/0013785, Pub. Date Jan. 16, 2003
AS	

EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.